

OPEN ACCESS AT THE UNIVERSITY OF KANSAS: lessons on the ground

Ada Emmett -- Associate Librarian for Scholarly Communication
aemmett@ku.edu

This work is licensed under the Creative Commons Attribution-Noncommercial-Share Alike 3.0 United States License. To view a copy of this license, visit <http://creativecommons.org/licenses/by-nc-sa/3.0/us/> or send a letter to Creative Commons, 171 Second St., Suite 300, San Francisco, California, 94105, USA.



OA at KU: lessons from the ground

□ Today

- Recent history
- Phase I – Governance Ad Hoc
- Phase II – Governance OA Implementation Task Force
- Library Role in OA policy development
- Lessons Learned
- Next steps...

Building on the foundation of the previous 10 years:



Recent history:

- Faculty/librarian brainstorming— faculty champions identified (March 2008)
- Environmental scan and specific strengths
- Faculty governance with library faculty in key roles

Policy Passed with caveats



Policy of April 2009 stated in its last sentence:

“Faculty governance in consultation with the Provost's office will develop the details of the policy which will be submitted for approval by the Faculty Senate.”

This necessitated a Phase II

OA at KU: Phase II



Open Access Policy: Phase II

Creation of OA Implementation Task Force charged with:

- ▣ Drafting implementation plan (processes).
- ▣ Offering revisions to the policy itself (policy).
- ▣ Creating campaign to inform, address concerns, receive feedback on early drafts, make revisions and identify early-adopter individuals and departments.
- ▣ Working with members (11) from various disciplines, administrative, library and leadership roles.

OA Implementation Task Force members

Convened July 2009 by Faculty Senate Executive Committee:

- Victor Bailey, Director for the Hall Center for Humanities, (**History**)
- Marc Greenberg, Chair of Slavic Department (**Slavic Linguistics**)
- Ada Emmett, **Libraries** (Chair of OA TF, FacEx member)
- Mary Lee Hummert, Vice Provost for Faculty Affairs, (**Communication Studies**)
- Julie Loats, **IT** Co-Director
- Deb Ludwig, Assistant Dean of Collections and Scholar Services, **Libraries**
- Town Peterson, Distinguished Professor of **Ecology & Evolutionary Biology**
- Greg Simpson, Interim Dean of the College of Liberal Arts and Sciences', (**Psychology**)
- Andrew Torrance, Associate Professor of **Law**
- Ann Turnbull, Distinguished Professor of **Special Education**
- Rob Ward, Assistant Professor, **Molecular Biosciences**

Library Role:



- ❑ Serving as OA experts and catalysts behind the scenes;
- ❑ Midwifing the process carefully and encouraging teaching faculty's leadership;
- ❑ Acknowledging often and directly that this is a faculty issue;
- ❑ Supporting and demonstrating the library support and commitment (from the past as well);
- ❑ Providing human and technology resources.

Task Force work: Fall 2009 -Feb 2010:

- ❑ **Six “brown bag” lunches** held with faculty; one open meeting for all faculty;
- ❑ **Meetings with departments:** Slavic Languages, Ecology & Evolutionary Biology, History, Libraries, Sports/Health, Sociology, English, Art History, Public Admin, School of Pharmacy, Communication Studies;
- ❑ **Close to 230 faculty** involved in in-person discussions;
- ❑ **Key documents vetted** with KU General Council;
- ❑ **Progress reports** to FacEx, Faculty Senate, and VPs' & Deans, and Graduate Student Association;
- ❑ **National OA and legal experts (5)** consulted;
- ❑ **Extended discussions** with IT and Libraries.

Lessons Learned on the Ground:

- ❑ Go slowly;
- ❑ Patience, perseverance, diplomacy, tact, thick skin, and more patience;
- ❑ Find the strengths;
- ❑ This is a faculty initiative
- ❑ Know their concerns ahead of time and address those first;
- ❑ Tailor the message
- ❑ Allow it to be a learning process for all.

Next Steps:



Dean of Libraries chosen as “Provost’s Designate”

- ▣ Charging the OA Advisory Board per requirement of policy; (Dean of Libraries as Executive Chair)
- ▣ Implementation responsibilities within the libraries;
- ▣ Hiring of a Digital Initiatives specialist to assist in the OA implementation, 50%;
- ▣ upgrades to DSpace and development of more sophisticated web services and submission processes for users;
- ▣ Long term planning for other OA related initiatives.

Thank you



Presentation given on April 14th 2010, for the Institute on Scholarly Communication ARL/ACRL ISC Webinar Series
Program 2A : Managing Transformative Change: Campus Policy and Politics, or: So we're not Harvard...